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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/868,442	07/24/2001	Samir S. Mitragotri	031852.0029	1231

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EXAMINER
 FOREMAN, JONATHAN M

ART UNIT	PAPER NUMBER
3736	

DATE MAILED: 03/23/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

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Office Action Summary	Application No. 09/868,442	Applicant(s) MITRAGOTRI ET AL.	
	Examiner Jonathan ML Foreman	Art Unit 3736	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 22 November 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 72, 74-110, 112 and 114-119 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 77-79, 89, 93 and 100 is/are allowed.
- 6) ☐ Claim(s) 72, 74-76, 80-88, 90-92, 94-99, 101, 103-110, 112 and 114-119 is/are rejected.
- 7) ☒ Claim(s) 102 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date <u>7/04, 9/04, 2/05</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

New grounds of rejection are contained within this Office Action. Accordingly this action has been made Non-Final.

Information Disclosure Statement

The information disclosure statements filed 7/29/04, 7/30/04, 9/14/04 and 2/15/05 comply with the provisions of 37 CFR 1.97, 1.98 and MPEP § 609. The information disclosure statements have been placed in the application file, and the information referred to therein has been considered by the examiner as to the merits.

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 72, 74 – 76, 82, 83, 90, 97, 101, 107, 112 and 114 are rejected under 35 U.S.C. 102(e) as being anticipated by U.S. Patent No. 6,009,343 to Shain et al.

In reference to claims 72, 74 – 76, 82, 83, 90, 97 and 101, Shain et al. discloses applicant's claimed invention including increasing a permeability level of an area of skin with low frequency ultrasound (Col. 2, lines 64 - 67); extracting at least one analyte from the area of skin (Col. 4, line 49); receiving the analyte in a sensing zone (18) in communication with the area; and continuously determining the quantity of the analyte in the sensing zone (Col. 3, lines 14 – 24). Shain et al. discloses extracting a body fluid being selected from physical forces, chemical forces, vacuum (Col.

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3, lines 33 – 35), electrical forces, osmotic forces, diffusion forces, electro-magnetic forces, ultrasound forces, cavitation forces, mechanical forces, thermal forces, capillary forces, fluid circulation across the skin, electro-acoustic forces, magnetic forces, photo acoustic forces and any combination thereof. The ultrasound is applied to create a result selected from pumping body fluid and fluid components, activating gas bodies, producing cyclic impulse mechanical stress, create microstreaming, increase temperature and set up standing waves (Col. 3, lines 60 - 64). Collecting the at least one analyte comprises using a method from the group of absorption, adsorption, phase separation, mechanical, electrical, chemically induced, capillary forces and a combination thereof (Col. 3, lines 50 – 53). The mechanical collection method comprises applying vacuum, pressure or acoustic forces. The determining step includes a sensing method selected from the group of electrochemical, optical, acoustical, biological, enzymatic technology and combinations thereof (Col. 3, lines 17 - 20).

In regards to claims 107, 112 and 114, Shain et al. discloses applicant's claimed invention including a low frequency ultrasonic transducer (Col. 2, lines 64 - 67); means providing an extraction transport force (14); a sensing zone (18); and a sensing device in the sensing zone for continuously measuring the quantity of at least one analyte (Col. 3, lines 14 – 24). Shain et al. discloses means providing an extraction transport force being selected from physical forces, chemical forces, vacuum, electrical forces, osmotic forces, diffusion forces, electro-magnetic forces, ultrasound forces, cavitation forces, mechanical forces, thermal forces, capillary forces, fluid circulation across the skin, electro-acoustic forces, magnetic forces, photo acoustic forces and any combination thereof (Col. 2, line 67 – Col. 3, line 2). The sensing device senses the presence of at least one analyte by applying a sensing method selected from the group of electrochemical, optical, acoustical, biological, enzymatic technology and combinations thereof (Col. 3, lines 17 –24).

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3. Claims 72, 74 – 76, 80 - 86, 88, 90 - 92, 97, 98, 101, 107, 108, 112, 114 and 117 – 119 are rejected under 35 U.S.C. 102(e) as being anticipated by U.S. Patent Application No. 2002/0045850 to Rowe et al.

In reference to claims 72, 74 – 76, 80- 86, 88, 90 - 92, 97, 98 and 101, Rowe et al. discloses applicant's claimed invention including increasing a permeability level of an area of skin with low frequency ultrasound [0072]; extracting at least one analyte from the area of skin (Col. 4, line 49); receiving the analyte in a sensing zone in communication with the area [0092]; and continuously determining the quantity of the analyte in the sensing zone [0093]. Rowe et al. discloses extracting a body fluid being selected from physical forces, chemical forces, vacuum, electrical forces, osmotic forces, diffusion forces, electro-magnetic forces, ultrasound forces, cavitation forces, mechanical forces, thermal forces, capillary forces, fluid circulation across the skin, electro-acoustic forces, magnetic forces, photo acoustic forces and any combination thereof [0085]. The ultrasound is applied to create a result selected from pumping body fluid and fluid components, activating gas bodies, producing cyclic impulse mechanical stress, create microstreaming, increase temperature and set up standing waves [0085]. Rowe et al. discloses a plurality of ultrasound-producing devices [0044] having at least one different operating characteristic selected from frequency, intensity, and coupling media [0085]. Collecting the at least one analyte comprises using a method from the group of absorption, adsorption, phase separation, mechanical, electrical, chemically induced, capillary forces and a combination thereof [0085]. The determining step includes a sensing method selected from the group of electrochemical, optical, acoustical, biological, enzymatic technology and combinations thereof [0093].

In regards to claims 107, 108, 112 and 114, Rowe et al. discloses applicant's claimed invention including a low frequency ultrasonic transducer [0072]; means providing an extraction

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transport force [0085]; a sensing zone [0093]; and a sensing device in the sensing zone for continuously measuring the quantity of at least one analyte [0093]. Rowe et al. discloses means providing an extraction transport force being selected from physical forces, chemical forces, vacuum, electrical forces, osmotic forces, diffusion forces, electro-magnetic forces, ultrasound forces, cavitation forces, mechanical forces, thermal forces, capillary forces, fluid circulation across the skin, electro-acoustic forces, magnetic forces, photo acoustic forces and any combination thereof[0085]. The sensing device senses the presence of at least one analyte by applying a sensing method selected from the group of electrochemical, optical, acoustical, biological, enzymatic technology and combinations thereof [0093].

In regards to claims 117 – 119, Rowe et al. discloses a system and method for blood glucose determination including a low frequency ultrasound transducer for increasing the permeability of the skin [0092]; an extraction device for extracting glucose from the skin [0085]; a receiving device for receiving the glucose; a gel in the receiving device; at least one glucose sensitive reagent that changes a characteristic of the gel; and a monitoring device for continuously monitoring the change in the characteristic [0093].

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claim 87 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent Application No. 2002/0045850 to Rowe et al. as applied to claim 86 above, and further in view of U.S. Patent No. 6,468,229 to Grace et al.

In reference to claim 87, Rowe et al. discloses using a mechanical force to enhance the physical movement of liquid across the skin [0085], but fails to disclose using a tensioner having a cavity to collect the fluid therein. Grace et al. discloses a tensioner (Figures 2A – G) having a cavity (26) for the collection of fluid. It would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the method as disclosed by Rowe et al. to include the steps of using a tensioner having a cavity to collect fluid therein as taught by Grace et al. in order to increase the amounts of interstitial fluids that are collected (Col. 2, lines 25 – 30).

1. Claims 95 and 96 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent Application No. 2002/0045850 to Rowe et al. as applied to claim 90 above, and further in view of U.S. Patent No. 6,503,198 to Aronowitz et al.

In reference to claims 95 and 96, Rowe discloses the step of collecting the body fluid into a gel [0050][0093], but fails to disclose the gel being a hydrophobic coating applied to the skin prior to extracting a body fluid from the skin. Aronowitz et al. teaches applying a hydrophobic coating to the skin prior to fluid extraction from the skin (Col. 16, lines 16 – 46). It would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the method as disclosed by Rowe et al. to include the step of applying a hydrophobic coating to the skin prior to fluid extraction as taught by Aronowitz et al. in order to enhance the permeation of the skin (Col. 16, lines 38 – 44).

2. Claim 99 is rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent Application No. 2002/0045850 to Rowe et al. in view of WO 97/30749 to Abbott Laboratories.

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In regards to claim 99, Rowe et al. discloses increasing a permeability level of an area of skin with low frequency ultrasound [0072]; extracting at least one analyte from the area of skin (Col. 4, line 49); receiving the analyte in a sensing zone in communication with the area [0092]; and continuously determining the quantity of the analyte in the sensing zone [0093]. However, Rowe et al. fails to disclose including a chemical method comprising applying a hydrophilic gel to receive the at least one analyte. Abbott Laboratories discloses a method for analysis of at least one analyte in the body utilizing ultrasound and including a chemical method comprising applying a hydrophilic gel to receive the at least one analyte (Page 9, lines 20 – 30). It would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the method as disclosed by Rowe et al. to include a chemical method comprising applying a hydrophilic gel to receive the at least one analyte as taught by Abbott Laboratories in order to aid in the transporting of the at least one analyte to the sensing zone (Page 9, lines 20 – 30)

3. Claims 103 – 106, 109, 110, 115 and 116 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent Application No. 2002/0045850 to Rowe et al. as applied to claims 72 and 107 above, and further in view of U.S. Patent No. 5,722,397 to Eppstein.

In regards to claims 103 – 106, 109, 110, 115 and 116, Rowe et al. discloses providing an output (92), but fails to disclose providing an output controlled by a microcontroller for a user interface having an alarm that indicates an abnormal analyte concentration and trend information that is downloadable. However, Eppstein discloses a method for analysis of at least one analyte in body fluid including providing an output for a user interface having an alarm that indicates an abnormal analyte concentration (Col. 21, lines 10 – 18) and trend information that is downloadable (Col. 19, lines 7 – 13). It would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the method as disclosed by Rowe et al. to provide an output as

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taught by Eppstein in order to indicate to the user or diagnostician the need for administration of appropriate medication if necessary (Col. 21, lines 10 – 13).

Allowable Subject Matter

4. Claim 102 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

5. Claims 77 – 79, 89, 93 and 100 are allowed.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jonathan ML Foreman whose telephone number is (571)272-4724. The examiner can normally be reached on Monday - Friday 8:00 am - 4:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Max Hindenburg can be reached on (571)272-4726.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).


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